ACADEMIC TRAINING LECTURES DESY, November 17, 18 & 20, 2003

QCD AT HIGH ENERGIES

- What is the high energy limit of scattering in QCD ?
- What is a high energy hadron made of ?
- To which extent can this be described in perturbation theory ?
- A new regime of QCD: weak coupling & high density
- Color Glass Condensate
 - The form of hadronic matter which controls high–energy interactions
 - An effective theory for the small-x part of the wavefunction of an energetic hadron

Edmond Iancu (CEA Saclay & CNRS)

PLAN

- I. Introduction : High–Density QCD
 - A. High–Temperature QCD
 - B. High–Energy QCD
- II. Deep Inelastic Scattering at Small-x
 - A. Generalities (Kinematics, Structure functions, Parton picture)
 - B. Parton Evolution in QCD
 - C. BFKL Evolution and the Small-x Problem
- III. Unitarity vs. Saturation in DIS
 - A. The Idea of Saturation
 - B. Light–Cone Kinematics & Quantization
 - C. DIS in the Dipole Frame
- IV. The Color Glass Condensate
 - A. Effective Theory for the Small-x Gluons
 - B. The Classical Solution
 - C. The gluon distribution of the valence quarks (McLerran–Venugopalan model)
 - D. The Renormalization Group at Small x
 - E. General Consequences of the Renormalization Group Equation
 - F. Non–Linear Gluon Evolution: Saturation & Geometric Scaling

- V. DIS off the CGC
 - A. Balitsky–Kovchegov Equation
 - B. Unitarization & Geometric Scaling for Dipole Scattering
 - C. The Golec–Biernat & Wüsthoff Saturation Model
 - D. A CGC fit to the F_2 data at HERA
- VI. Perspective and Open Problems Total cross-section and Froissart bound Physics at RHIC

I. High–Density QCD

• A <u>medium</u> described by QCD

A dense system of quarks and gluons which exists over time scales much larger than the time scale we need to probe it.

— Quark–Gluon Plasma

(Early Universe, Heavy Ion collisions)

— Color Glass Condensate (High energy scattering)

— Color Superconductors (Core of neutron stars)

• Weak coupling but many degrees of freedom

 \implies Breakdown of ordinary perturbation theory

• When do non–linear effects become important ?

 $D_{\nu} = \partial_{\nu} - igA_{\nu}$

 $\partial_{\nu} \sim g A_{\nu}$ when $\bar{A} \sim \bar{k}/g$

 \implies Strong–field regime of QCD

Since A_{ν} is a fluctuating field, this condition should be understood in the sense of correlations. • E.g.: Energy density ~ $\langle \operatorname{tr} F_{\mu\nu}^2 \rangle$ Since: $F_{\mu\nu}^a = \partial_{\mu}A_{\nu}^a - \partial_{\nu}A_{\mu}^a + gf^{abc}A_{\mu}^bA_{\nu}^c$, non-linear effects become important when: $\langle (\partial \cdot A)^2 \rangle \sim g^2 \langle A^4 \rangle$, that is: $\bar{k}^2 \langle A^2 \rangle \sim g^2 \langle A^2 \rangle^2$ $g^2 \langle A^2 \rangle \sim \bar{k}^2$

As we shall see:

- This happens for momenta k smaller than some intrinsic scale, characteristic of the density and of the interactions in the system.
- When this happens, gluon occupation numbers are large ($\sim 1/g^2$), and a semi-classical description becomes possible.

Strong-field QCD \longrightarrow Classical effective theory

A. High–Temperature QCD

- $T > T_c \sim 170 \text{ MeV} \Longrightarrow \text{Quark-Gluon Plasma}$
- Thermal occupation number for gluons :

 $\frac{1}{V} \frac{\mathrm{d}N}{\mathrm{d}^3 k} = n(\varepsilon_k) = \frac{1}{\mathrm{e}^{\beta \varepsilon_k} - 1} \qquad (\beta = 1/T, \quad \varepsilon_k = \hbar k)$ Since: $\frac{\mathrm{d}N}{\mathrm{d}k} \sim \frac{k^2}{\mathrm{e}^{\beta \hbar k} - 1} \Longrightarrow$ Most gluons have $k \sim T$ When $k \sim T \implies n(k) \sim 1$

But soft modes have large occupation numbers:

$$k \ll T \quad \Longrightarrow \quad n(k) \simeq \frac{T}{\hbar k} \gg 1$$

N.B. The same as the classical limit $(\hbar \rightarrow 0)$.

- Average energy per soft mode: $\varepsilon_k n(\varepsilon_k) \simeq T$ for $k \ll T \Longrightarrow$ classical 'equipartition' Soft modes are quasi-classical !
- What is the typical strength of thermal fluctuations?

$$\bar{A} \equiv \sqrt{\langle A^2(t, \boldsymbol{x}) \rangle}; \qquad \langle A^2 \rangle \sim \int \frac{\mathrm{d}^3 k}{(2\pi)^3} \, \frac{n(\varepsilon_k)}{\varepsilon_k}$$

• Focus on fluctuations with size $\sim 1/\bar{k} \ [\hbar = 1]$:

$$\langle A^2 \rangle_{\bar{k}} \sim \int^k \frac{\mathrm{d}^3 k}{(2\pi)^3} \frac{1}{k} \frac{1}{\mathrm{e}^{\beta k} - 1}$$

• If $\bar{k} \sim T \implies \langle A^2 \rangle_T \sim T^2$ $\implies g^2 \langle A^2 \rangle_T \sim g^2 T^2 \ll \bar{k}^2 \sim T^2$

Typical $(k \sim T)$ fluctuations are perturbative.

• If $\bar{k} \ll T \implies \langle A^2 \rangle_{\bar{k}} \sim \int^{\bar{k}} \frac{\mathrm{d}^3 k}{k} \frac{T}{k} \sim \bar{k} T$ $g^2 \langle A^2 \rangle_{\bar{k}} \sim \bar{k}^2$ provided $\bar{k} \sim g^2 T$

Fluctuations with $k \lesssim g^2 T$ are non–perturbative !

- Physical interpretation : Generation of a "gluon (screening) mass" $m_s \sim g^2 T$.
- For $k \leq m_s$: $\varepsilon_k \to \sqrt{k^2 + m_s^2} \simeq m_s$ The occupation numbers "saturate" to $n \simeq 1/\alpha_s$:

$$n(k) \simeq \frac{T}{m_s} \sim \frac{1}{\alpha_s} \gg 1$$

• How to calculate in this non–perturbative regime ?

a) Construct a classical effective theory for the soft modes $(k \sim g^2 T)$ by integrating out the relatively hard modes $(k \sim T \text{ and } gT)$ in perturbation th.

b) Solve the classical eff. th. on a 3-dim. lattice

• References :

F.Karsch, E.Laermann, hep-lat/0305025 (lattice)U. Kraemmer, A. Rebhan, hep-ph/0310337J.-P. Blaizot, E. Iancu, Phys. Rept. 359 (2002) 355

B. High–Energy QCD

- The "small-x" part of the wavefunction of a fast moving $(v \simeq c)$ hadron
- High density of virtual partonic excitations (mostly gluons) which are "frozen" by Lorentz time dilation.
- Gluon occupation number :

$$n_g = \frac{(2\pi)^3}{2 \cdot (N_c^2 - 1)} \frac{\mathrm{d}N_g}{\mathrm{d}^3 k \,\mathrm{d}^3 b}$$

(# of gluons of given spin and color per unit phase–space)

- n_g is not a priori known (unlike in the high-T case). But the gluon field fluctuations $\langle A^2 \rangle$ can be directly extracted from the data !
- DIS \implies The gluons transverse size $\Delta x_{\perp} \sim 1/Q$ and their longitudinal momentum $k_z = xP$ are fixed by the measured quantities x and Q^2 .
- The "gluon distribution" :

$$xG(x,Q^2) \equiv x \frac{\mathrm{d}N_g}{\mathrm{d}x} \approx \frac{\mathrm{d}N_g}{\mathrm{d}k_z \mathrm{d}b_z}$$

since: $dk_z db_z \approx dk_z/k_z = dx/x$

$$\frac{xG(x,Q^2)}{\pi R^2} = \int^{Q^2} \frac{\mathrm{d}^2 k_\perp}{(2\pi)^2} \ n_g = \langle A^2 \rangle_Q$$

Q plays the same role as \overline{k} in the general case.



• The condition for non–perturbative behaviour :

 $g^2 \langle A^2 \rangle_Q \sim Q^2$

 \implies An equation for $Q^2 = Q_s^2(x)$:

$$Q_s^2(x) \simeq \alpha_s \frac{xG(x, Q_s^2(\mathbf{x}))}{\pi R^2}$$

• Physical interpretation :

 $Q_s(x) =$ "saturation momentum"

For $k_{\perp} \leq Q_s(x)$: strong non–linear effects which should lead to gluon saturation :

$$n_g \sim \frac{1}{\alpha_s} \gg 1$$
 for $k_\perp \le Q_s(x)$

- $Q_s(x)$ increases rapidly with the energy (1/x), and also with the atomic number A for a large nucleus.
- For protons at HERA, or heavy nuclei at RHIC, Q_s is estimated to be on the order of 1 GeV
- Saturated gluons \iff Strong classical color fields

Color Glass Condensate

II. Deep Inelastic Scattering at Small-x

A. Generalities (kinematics, structure functions, parton picture)

 $electron(k) + proton(P) \longrightarrow electron(k') + X(P_X)$

• X : undetected hadronic system



- $s = (P + k)^2$: center–of–mass energy squared
- Two independent kinematical invariants :
 - 1) $Q^2 \equiv -q^{\mu}q_{\mu} = -(k-k')^2$ $(Q^2 \ge 0)$

2)
$$x \equiv \frac{Q^2}{2P \cdot q} = \frac{Q^2}{Q^2 + W^2 - M^2}$$
 (Bjorken's x)

Here: $W^2 \equiv (P+q)^2 \ge M^2 \Longrightarrow 0 \le x \le 1$

• For $Q^2 \ll M_Z^2 \Longrightarrow$ one photon exchange (γ^*)

• "Deep inelastic" or Bjorken limit :

Both
$$Q^2$$
 and $P \cdot q \gg M^2$ with $x =$ fixed

• "Small-x" or High energy limit :

$$W^2 \gg Q^2 > M^2 \implies x \simeq \frac{Q^2}{W^2} \ll 1$$

DIS cross-section ⇒ Two "structure functions"
 The total cross section for virtual photon absorbtion:

$$\sigma_{\gamma^* p}(x, Q^2) = \sigma_T + \sigma_L = \frac{4\pi^2 \alpha_{\text{em}}}{Q^2} F_2(x, Q^2)$$

 $\sigma_{T,L} = (4\pi^2 \alpha_{\rm em}/Q^2) F_{T,L},$ $F_2 = F_T + F_L, \quad F_1 = F_T/2x$

- Kinematics + (Lorentz and gauge) symmetries
- What is the physical interpretation of F_{T,L}?
 A measure of the quark and gluon distributions in the proton in a suitable frame and gauge.
- The proton: A loosely bound assemblage of constituents called 'partons' (quarks and gluons) Why "loosely" ? Because of asymptotic freedom:

$$\alpha_s(Q^2) \simeq \frac{1}{b \ln(Q^2/\Lambda_{\rm QCD}^2)} \ll 1 \quad \text{for} \quad Q^2 \gg \Lambda_{\rm QCD}^2$$
$$b = (11N_c - 2N_f)/12\pi, N_c = 3, \Lambda_{\rm QCD} \sim 200 \text{ MeV.}$$
$$\implies \text{Typical binding energy} \sim \Lambda_{\rm QCD}$$

- A parton: A <u>virtual</u> excitation with virtuality $p^2 - m^2 \sim \Lambda_{\text{QCD}}^2$ and lifetime $\tau_{\text{part}} \sim 1/\Delta p_0$
- Proton Infinite Momentum Frame (IMF): <u>Any</u> frame in which $P_z \gg M$: $P^{\mu} \simeq (P + \frac{M^2}{2P}, 0, 0, P) \simeq (P, 0, 0, P)$
- In IMF, partons are longlived and nearly collinear. Indeed: $p^{\mu} = (p_0, p_{\perp}, p_z)$ with $p_z = \xi P$ and $0 \le \xi \le 1$
 - ξ = longitudinal momentum fraction of the parton
 - Typically: $p_{\perp} \sim \Lambda_{\text{QCD}}$ and $p^2 m^2 \sim \Lambda_{\text{QCD}}^2$ that is: $p_0^2 - E_p^2 \sim \Lambda_{\text{QCD}}^2$ with $E_p = \sqrt{m^2 + p_{\perp}^2 + p_z^2}$ But: $p_z = \xi P \gg m, \Lambda_{\text{QCD}} \Longrightarrow E_p \simeq p_z \gg p_{\perp}$ Also: $p_0 \simeq E_p \simeq p_z$ and $\Delta p_0 \sim p_0 - p_z \simeq \Lambda_{\text{QCD}}^2/2p_z$ $p^{\mu} \approx \xi(P, 0_{\perp}, P) = \xi P^{\mu}$

$$au_{
m part} \sim rac{2p_z}{\Lambda_{
m QCD}^2} \gg au_{
m vac} \sim rac{1}{p_z}$$

In this frame, the parton is well separated from the vacuum fluctuations.

• DIS in IMF: γ^* is absorbed by individual quarks

This is best seen in the Breit frame $(q_z = 0)$



i) Transverse resolution :

 $q_0 = \frac{P \cdot q}{P} \to 0 \text{ as } P \to \infty \Longrightarrow Q^2 \equiv -q_0^2 + q_\perp^2 \simeq q_\perp^2$ \Longrightarrow The γ^* momentum is mainly transverse

 γ^* is absorbed over a transverse distance $\Delta x_{\perp} \sim 1/Q$

Simultaneous scattering off two or more quarks is suppressed by powers of $1/Q^2$ ("higher–twist").

ii) Duration of the scattering process:

$$\tau \sim \frac{1}{E_p + q_0 - E_{p+q}} \simeq \frac{2P\xi}{Q^2} \ll \tau_{\text{part}} \sim \frac{2P\xi}{\Lambda^2}$$

Indeed: $E_p \simeq p_z = \xi P, q_0 \approx 0$, and $E_{p+q} = \sqrt{m^2 + q_{\perp}^2 + p_z^2} \simeq p_z + Q^2/2p_z$

 γ^* is absorbed very fast compared to natural time scales

The proton constituents move almost freely over the very short times scales corresponding to DIS !

iii) Mass–shell constraint for the outgoing quark : $0 = (p+q)^2 = q^2 + 2p \cdot q = -Q^2 + 2\xi P \cdot q = -2P \cdot q(x-\xi)$ $\xi = x$

- To compute σ_{γ*p}, it is enough to know the quark "distribution" at the time of scattering.
- Parton model (Bjorken, 69; Feynman, 72)
 "Partons": strictly on-shell and collinear: p^μ = ξP^μ. (Consistent with QCD to lowest order in α_s.)
- The quark distribution is fully specified as :
 q(ξ)dξ = the probability of finding a quark with longitudinal fraction between ξ and ξ + dξ
- Parton model calculation of the $\gamma^* p$ cross-section

$$\sigma_{\gamma^* p} = \sum_f \int_0^1 \mathrm{d}\xi \left[q_f(\xi) + \bar{q}_f(\xi) \right] \hat{\sigma}_{\gamma^* f}$$

• Partonic cross-sections :

$$\hat{\sigma}_T = \frac{4\pi^2 \alpha_{\rm em}}{Q^2} e_f^2 \xi \,\delta(\xi - x), \qquad \hat{\sigma}_L = 0$$

• Parton model predictions for the structure functions:

$$F_2(x) = \sum_f e_f^2 \left[x q_f(x) + x \bar{q}_f(x) \right] = F_T(x)$$

- $F_2(x)$ is independent of Q^2 ("Bjorken scaling") - $F_L = 0$ ("Callan–Gross relation"): spin 1/2.

ZEUS+H1



Figure 1: The F_2 structure function as measured by the H1 and ZEUS experiments for bins at high x as a function of Q^2 . The bins centred around x = 0.25 are where scaling was originally observed in the SLAC experiments.

From the review paper "Lectures on HERA physics", by B. Foster, EPJdirect A1, 1–11 (2003); hep-ex/0206011.

ZEUS+H1



Figure 2: The F_2 structure function as measured by the H1 and ZEUS experiments for bins at low x as a function of Q^2 . The violations of Bjorken scaling are now obvious.

From the review paper "Lectures on HERA physics", by B. Foster, EPJdirect A1, 1–11 (2003); hep-ex/0206011.

• A pictorial view of the hadron as "seen" in DIS and in IMF :



- Lorentz contraction in the longitudinal direction with $\gamma = P/M \gg 1$
- Uncertainty principle: $\Delta z \sim \frac{1}{p_z} = \frac{1}{xP}$
- Partons with typical x (not too small) are confined to the Lorentz contracted disk.
- Partons with very small $x \ll 1$ ("wee", or "slow") are NOT !

• Small– $x \longleftrightarrow$ High energy

$$x = \frac{Q^2}{Q^2 + W^2} \simeq \frac{Q^2}{W^2} \ll 1$$
 when $W^2 \gg Q^2$

- With increasing Q^2 : one increases the spatial resolution in the transverse plane : $\Delta x_{\perp} \sim 1/Q$
- With decreasing x :
 - one increases the resolution in time: $\Delta t \sim \frac{2xP}{Q^2}$
 - but one reduces the spatial resolution in the longitudinal direction: $\Delta z \sim \frac{1}{xP}$
- Partons are not free particles, but d.o.f. of QCD ! What should be the effects of their interactions ?
 - give the partons a transverse dimension;
 - introduce gluon influence on F_2 ;
 - generate a dense gluonic system at very small-x;
 - breakdown of the "leading-twist" approximation in the gluonic sector.

B. Parton Evolution in QCD

- In QCD, partons have a <u>substructure</u>, in terms of virtual fluctuations.
- Parton cascades : A fast parton (p^µ ≃ (p, 0⊥, p)) can decay into two partons which are still fast, and thus will exist for a long time:

$$p^{-k} \qquad k^{\mu} = (E_{k}, k_{\perp}, xp)$$

$$(p-k)^{\mu} = (E_{p-k}, -k_{\perp}, (1-x)p)$$

$$k_{z} = xp$$

$$\begin{split} \Delta E &= -p + \sqrt{x^2 p^2 + k_{\perp}^2} + \sqrt{(1-x)^2 p^2 + k_{\perp}^2} \approx \frac{k_{\perp}^2}{2xp} + \frac{k_{\perp}^2}{2(1-x)p} \\ \Delta t &\sim \frac{\min(x, 1-x)p}{k_{\perp}^2} \gg \tau_{\text{vac}} \sim \frac{1}{k_z} \end{split}$$

- The ensuing partons can split again, into fluctuations with even smaller k_z , and thus shorter lifetimes.
- In the <u>absence of any external interaction</u>, the cascade develops until very <u>slow</u> partons, with k_z = xp ~ k_⊥, get formed.
 Then, the partons recombine back.
- Partons within the same cascade are coherent.



• In DIS, γ^* hooks a quark having $k_z = xP$ and transverse size $\Delta x_{\perp} \sim 1/Q$ in some cascade.

The coherence of that cascade is lost, and its partons are released in the final state.



$F_2(x,Q^2) = \sum_f e_f^2 \left[x q_f(x,Q^2) + x \bar{q}_f(x,Q^2) \right]$

 $q_f(x, Q^2) dx = \#$ of quarks of flavor f with longitudinal fraction between x and x + dx and localized in transverse space to a size 1/Q

$$xq_f(x,Q^2) = \int^{Q^2} \mathrm{d}^2 k_\perp x \, \frac{\mathrm{d}N_f}{\mathrm{d}x\mathrm{d}^2 k_\perp}$$

• With increasing Q^2 , one can "see" components of the quark which are smaller and smaller.



• Nearly collinear splitting $(k_{\perp} \ll k_z = zp)$



$$\mathrm{d}\mathcal{P} = \frac{\alpha_s C_F}{2\pi} \frac{\mathrm{d}k_{\perp}^2}{k_{\perp}^2} \frac{1 + (1 - z)^2}{z} \,\mathrm{d}z \quad (C_F = t^a t^a = 4/3)$$









• Local evolution equation for $xG(x, Q^2)$

$$\frac{\partial x G(x,Q^2)}{\partial \ln Q^2 \partial \ln \frac{1}{x}} = \frac{\alpha_s N_c}{\pi} x G(x,Q^2)$$

Exercice

Show that asymptotically $(\alpha_s \ln(1/x) \ln Q^2 \gg 1)$ the solution $xG(x, Q^2)$ has the following behaviour: i) For fixed coupling α_s (with $\bar{\alpha}_s \equiv \alpha_s N_c/\pi$):

$$xG(x,Q^2) \propto \exp\left\{2\sqrt{\bar{\alpha}_s \ln \frac{1}{x} \ln \frac{Q^2}{Q_0^2}}\right\}$$

where Q_0^2 is an arbitrary reference scale.

ii) For running coupling $\alpha_s(Q^2) = \frac{1}{b \ln(Q^2/\Lambda^2)}$:

$$xG(x,Q^2) \propto \exp\left\{2\sqrt{\frac{N_c}{\pi b}\ln\frac{1}{x}\ln\frac{\ln(Q^2/\Lambda^2)}{\ln(Q_0^2/\Lambda^2)}}\right\}$$

• Quite rapid growth with 1/x: slower than any power of 1/x, but faster than any power of $\ln(1/x)$.

• But DLA is not truly interesting:

- Irrelevant for HERA: the small-x data correspond to rather low Q^2 , with $\alpha_s \ln(1/x) \gtrsim 1$ and $\ln(1/x) > \ln Q^2$. - DLA is not the evolution towards high density partonic systems: An increasing number of smaller and smaller gluons which do not overlap with each other.