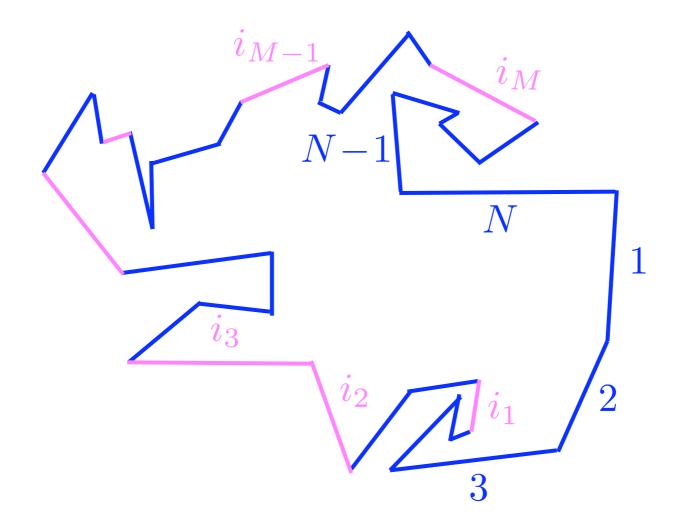
Phase space constraints and statistical jet studies in heavy-ion collisions

Nicolas BORGHINI

A well-defined mathematical problem...

Consider a finite-size-N ring polymer (in a D-dimensional space): \longrightarrow "closed": $\mathbf{p}_1 + \mathbf{p}_2 + \dots + \mathbf{p}_N = \mathbf{0}$



Take M monomers among the N ones.

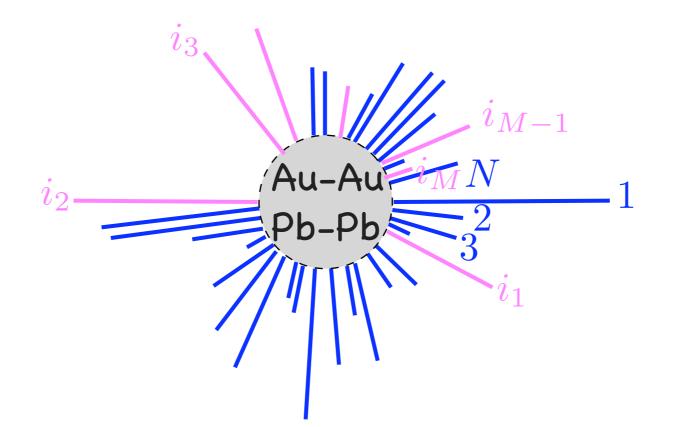
What is the multiple correlation induced between these monomers by the overall constraint $\mathbf{p}_1 + \mathbf{p}_2 + \cdots + \mathbf{p}_N = \mathbf{0}$?

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A well-defined mathematical problem...

Consider N particles constrained by (total) momentum conservation: for instance, in the center-of-mass frame of the colliding nuclei, the N particles emitted in a Au-Au collision satisfy $\mathbf{p}_1 + \mathbf{p}_2 + \cdots + \mathbf{p}_N = \mathbf{0}$.



What is the correlation between M arbitrary particles induced by the momentum-conservation constraint?

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An old idea...

PHYSICAL REVIEW D

VOLUME 6, NUMBER 11

1 DECEMBER 1972

Azimuthal Correlations of High-Energy Collision Products

Margaret C. Foster, Daniel Z. Freedman, and S. Nussinov* Department of Physics and Institute for Theoretical Physics, State University of New York at Stony Brook, † Stony Brook, New York 11790

and

J. Hanlon and R. S. Panvini Vanderbilt University, Nashville, Tennessee \$37203 (Received 24 July 1972)

Experimental distributions of azimuthal angles between particles produced in pp and pd collisions at 28 GeV/c and K^-p collisions at 9 GeV/c are presented and studied.

The study of two-particle correlations is a natural step beyond the investigation of single-particle distributions.^{1,2} Such a study could be very useful in clarifying our understanding of multiple-particle production in high-energy collisions.

In this paper we concentrate on azimuthal correlations, that is, distributions $d\sigma/d\phi_{ij}$ where ϕ_{ij} is the angle between transverse momenta \vec{k}_i and \vec{k}_j of two final-state particles.

 $\frac{\text{relations which arise simply from momentum con-}}{\text{servation and the experimentally observed damping}}$

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The main goal of our study is to identify the cor-

An old idea...

PHYSICAL REVIEW D

VOLUME 6, NUMBER 11

1 DECEMBER 1972

Azimuthal Correlations of High-Energy Collision Products

II. MOMENTUM-CONSERVATION CONSTRAINT

We consider the azimuthal distribution $d\sigma^n/d\phi$ in a general reaction with *n* particles in the final state. Transverse-momentum conservation imposes some constraints on this distribution. Denoting the transverse momentum of the *i*th particle by \vec{k}_i , we see that transverse momentum conservation gives the condition

 $\sum_{i} k_i^2 + \sum_{i \neq j} \vec{k}_i \cdot \vec{k}_j = 0 .$

Upon averaging over all particles, we find $n\langle k_i^2 \rangle + n(n-1)\langle \vec{k}_i \cdot \vec{k}_j \rangle = 0$, which suggests that $\langle \cos \phi \rangle \approx -1/(n-1)$ and that a distribution $d\sigma^n/d\phi$ might be expected to peak at $\phi = \pi$, the peak becoming less pronounced as *n* increases.

 $\frac{d\sigma^n}{d\phi} = \sum_{i=1}^{n} \frac{d\sigma^n}{d\phi_{ii}}$

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Total momentum conservation and statistical studies of jets

- A few useful definitions and properties
 - probability distributions, cumulants, generating functions...

- Multiparticle correlation induced by total momentum conservation
 - a general, model-independent calculation

Eur. Phys. J. C 30 (2003) 381

Specific study of two- and three-particle correlations due to total momentum conservation

Phys. Rev. C 75 (2007) 021904(R)

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Multiparticle correlations & cumulants

• *M*-particle probability distribution $f(\mathbf{p}_{i_1}, \dots, \mathbf{p}_{i_M})$: probability that particles $\{i_1, i_2, \dots, i_M\}$ have momenta $\mathbf{p}_{i_1}, \mathbf{p}_{i_2}, \dots, \mathbf{p}_{i_M}$ irrespective of the momenta of the N-M other particles.

is normalized to unity: $f({\mathbf{p}_{i_k}}) = \mathcal{O}(1), \ \forall M$

A useful mathematical tool:

Generating function of the probability distribution:

 $G(x_1, ..., x_N) = 1 + x_1 f(\mathbf{p}_1) + x_2 f(\mathbf{p}_2) + ... + x_1 x_2 f(\mathbf{p}_1, \mathbf{p}_2) + ...$ $x_1, ..., x_N$ auxiliary (complex) variables

Independent particles: $f(\mathbf{p}_1, \mathbf{p}_2, ..., \mathbf{p}_N) = f(\mathbf{p}_1) f(\mathbf{p}_2) \cdots f(\mathbf{p}_N)$





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Multiparticle correlations & cumulants

• *M*-particle cumulant of the probability distribution $f_c(\mathbf{p}_{i_1}, \dots, \mathbf{p}_{i_M})$: connected part of the probability distribution, responsible for the "correlations" (= deviations from statistical independence)

$$f(\mathbf{p}_1, \mathbf{p}_2) = f_c(\mathbf{p}_1) f_c(\mathbf{p}_2) + f_c(\mathbf{p}_1, \mathbf{p}_2)$$

 $\bullet \quad \bullet \quad = \quad (\bullet) \quad (\bullet)$

(note: $f(\mathbf{p}) = f_c(\mathbf{p})...$)

At the three-particle level:

Generating function of the cumulants: 🙂

 $\ln G(x_1, \dots, x_N) = x_1 f_c(\mathbf{p}_1) + x_2 f_c(\mathbf{p}_2) + \dots + x_1 x_2 f_c(\mathbf{p}_1, \mathbf{p}_2) + \dots$

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Multiparticle correlations & cumulants

How do cumulants scale with the total multiplicity N?

For a system made of independent sub-systems (or with short-range correlations only), the probability distributions add up:

$$f(\{\mathbf{p}_j\}) = \sum_A \frac{N_A}{N} f_A(\{\mathbf{p}_j\}) \quad \text{i.e.} \quad G(\{x_j\}) = \prod_A g_A\left(\left\{\frac{N_A x_j}{N}\right\}\right)$$

At the cumulant level, $\ln G(\{x_j\}) = \sum_A \ln g_A\left(\left\{\frac{N_A x_j}{N}\right\}\right)$

Expand, search for the coefficient of $x_{i_1} \dots x_{i_M}$

$$f_c(\mathbf{p}_{i_1}, \dots, \mathbf{p}_{i_M}) = \mathcal{O}\left(\frac{1}{N^{M-1}}\right)$$

What about the case of particles whose momenta are constrained by total momentum conservation?

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Total momentum conservation and M-particle distribution

In the presence of the constraint from total momentum conservation, the M-particle distribution reads:

$$f(\mathbf{p}_1, \dots, \mathbf{p}_M) \equiv \frac{\left(\prod_{j=1}^M F(\mathbf{p}_j)\right) \int \delta^D(\mathbf{p}_1 + \dots + \mathbf{p}_N) \prod_{j=M+1}^N [F(\mathbf{p}_j) d^D \mathbf{p}_j]}{\int \delta^D(\mathbf{p}_1 + \dots + \mathbf{p}_N) \prod_{j=1}^N [F(\mathbf{p}_j) d^D \mathbf{p}_j]}$$

which one then inserts in the generating function...

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Total momentum conservation and M-particle distribution

In the presence of the constraint from total momentum conservation, the M-particle distribution reads: single-particle distribution in the absence of constraint $\equiv \underbrace{\left(\prod_{j=1}^{M} F(\mathbf{p}_{j})\right) \int \underbrace{\delta^{D}(\mathbf{p}_{1} + \dots + \mathbf{p}_{N})}_{j=M+1} \prod_{j=M+1}^{N} \left[F(\mathbf{p}_{j}) d^{D} \mathbf{p}_{j}\right]}_{j=M+1}$ $f(\mathbf{p}_1)$ N $\underbrace{\delta^{D}(\mathbf{p}_{1}+\cdots+\mathbf{p}_{N})}_{i=1}\prod_{j=1}\left[F(\mathbf{p}_{j})\,\mathrm{d}^{D}\mathbf{p}_{j}\right]$ $\xrightarrow{j=1} \int \frac{\mathrm{d}^D \mathbf{k}}{(2\pi)^D} \prod_{i=1}^N \mathrm{e}^{\mathrm{i}\mathbf{k} \cdot \mathbf{p}_j}$ *M*-independent denominator $\equiv 1/C_D$

which one then inserts in the generating function...

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Generating function

Introducing the notation $\langle g(\mathbf{p}) \rangle \equiv \int g(\mathbf{p}) F(\mathbf{p}) d^D \mathbf{p}$, one finds:

$$G(x_1, \dots, x_N) = C_D \int \frac{\mathrm{d}^D \mathbf{k}}{(2\pi)^D} \langle \mathrm{e}^{\mathrm{i}\mathbf{k}\cdot\mathbf{p}} \rangle^N \exp\left(\sum_{j=1}^N x_j F(\mathbf{p}_j) \frac{\mathrm{e}^{\mathrm{i}\mathbf{k}\cdot\mathbf{p}_j}}{\langle \mathrm{e}^{\mathrm{i}\mathbf{k}\cdot\mathbf{p}} \rangle}\right)$$
$$= C_D \int \frac{\mathrm{d}^D \mathbf{k}}{(2\pi)^D} \exp\left[N\left(\ln\langle \mathrm{e}^{\mathrm{i}\mathbf{k}\cdot\mathbf{p}} \rangle + \sum_{j=1}^N \frac{\bar{x}_j}{N} \frac{\mathrm{e}^{\mathrm{i}\mathbf{k}\cdot\mathbf{p}_j}}{\langle \mathrm{e}^{\mathrm{i}\mathbf{k}\cdot\mathbf{p}} \rangle}\right)\right]$$

I shall show (using a saddle-point method) that $G(x_1, \dots, x_N) \propto e^{N\mathcal{F}(\mathbf{k}_0)} \left(1 + \sum_{q>l} \frac{x^l}{N^q} \right)$

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Generating function

Introducing the notation $\langle g(\mathbf{p}) \rangle \equiv \int g(\mathbf{p}) F(\mathbf{p}) d^D \mathbf{p}$, one finds:

$$G(x_{1},...,x_{N}) = C_{D} \int \frac{\mathrm{d}^{D}\mathbf{k}}{(2\pi)^{D}} \langle \mathrm{e}^{\mathrm{i}\mathbf{k}\cdot\mathbf{p}} \rangle^{N} \exp\left(\sum_{j=1}^{N} x_{j}F(\mathbf{p}_{j}) \frac{\mathrm{e}^{\mathrm{i}\mathbf{k}\cdot\mathbf{p}_{j}}}{\langle \mathrm{e}^{\mathrm{i}\mathbf{k}\cdot\mathbf{p}} \rangle}\right)$$

$$= C_{D} \int \frac{\mathrm{d}^{D}\mathbf{k}}{(2\pi)^{D}} \exp\left[N\left(\ln\langle \mathrm{e}^{\mathrm{i}\mathbf{k}\cdot\mathbf{p}} \rangle + \sum_{j=1}^{N} \frac{\bar{x}_{j}}{N} \frac{\mathrm{e}^{\mathrm{i}\mathbf{k}\cdot\mathbf{p}_{j}}}{\langle \mathrm{e}^{\mathrm{i}\mathbf{k}\cdot\mathbf{p}} \rangle}\right)\right]$$
only depends on $\frac{\bar{x}}{N}$
shall show (using a saddle-point method) that
$$G(\bar{x}_{1},...,\bar{x}_{N}) \propto \mathrm{e}^{N\mathcal{F}(\mathbf{k}_{0})}\left(1 + \sum_{q>l} \frac{\bar{x}^{l}}{N^{q}}\right)$$

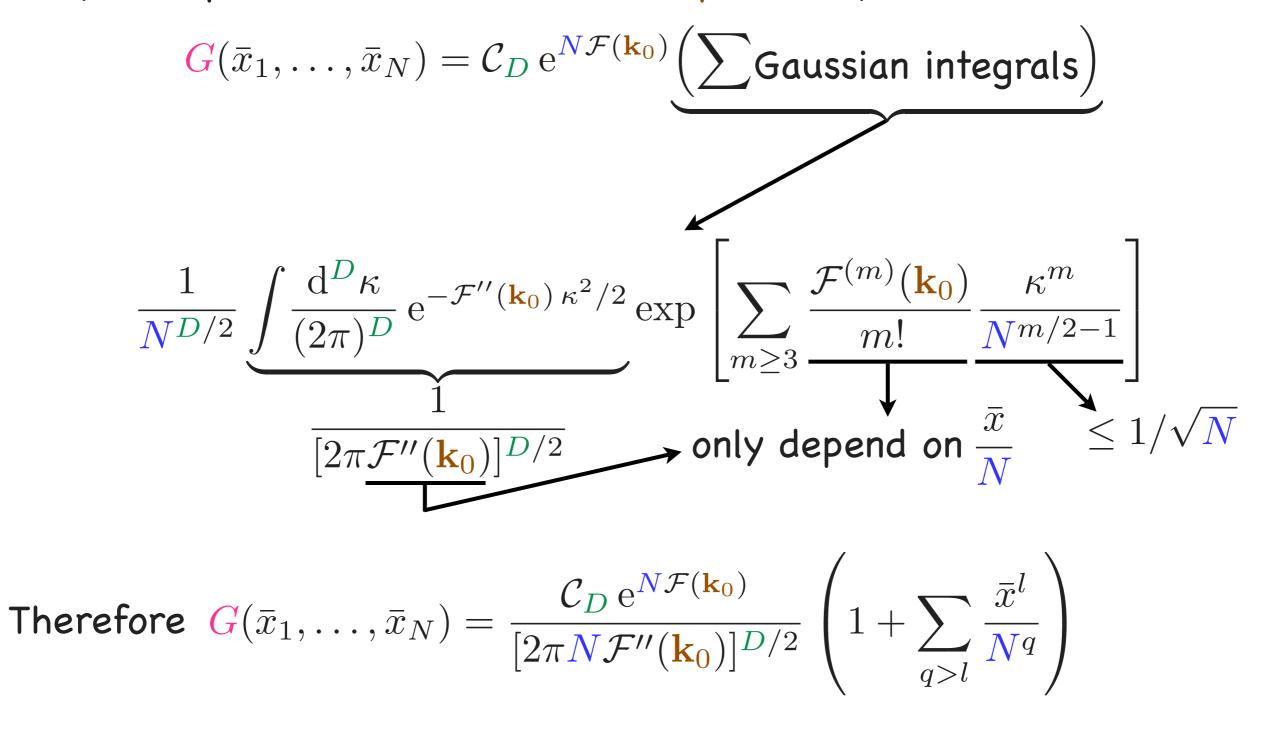
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Saddle-point method

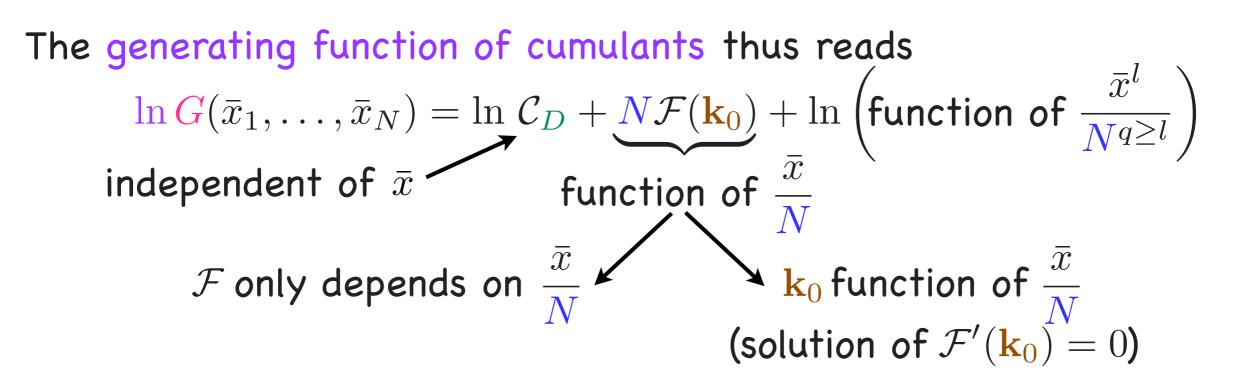
A Taylor expansion around the saddle-point k_0 yields



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Cumulants



Hence the (scaled*) cumulants:

$$\bar{f}_c(\mathbf{p}_{i_1}, \dots, \mathbf{p}_{i_M}) = \begin{array}{c} \text{coef. of } \bar{x}_{i_1} \cdots \bar{x}_{i_M} \\ \text{in } N\mathcal{F}(\mathbf{k}_0) \end{array} + \mathcal{O}\left(\frac{1}{N^M}\right) = \mathcal{O}\left(\frac{1}{N^{M-1}}\right)$$

The cumulants arising from total momentum conservation follow the same scaling behaviour as those from short-range correlations!

Image: The problem is the problem in the problem in the problem is the problem in the problem in the problem in the problem is the problem in the problem in the problem in the problem is the problem in the problem is the problem in the problem in the problem in the problem is the problem in the problem

Computing the first cumulants

• The saddle-point is given by $\mathcal{F}'(\mathbf{k}_0) = 0$, i.e.

$$\left(\sum_{j=1}^{N} \frac{\bar{x}_{j}}{N} \frac{\mathrm{e}^{\mathrm{i}\mathbf{k}_{0}} \cdot \mathbf{p}_{j}}{\langle \mathrm{e}^{\mathrm{i}\mathbf{k}_{0}} \cdot \mathbf{p} \rangle} - 1\right) \langle \mathbf{p} \, \mathrm{e}^{\mathrm{i}\mathbf{k}_{0}} \cdot \mathbf{p} \rangle = \sum_{j=1}^{N} \frac{\bar{x}_{j}}{N} \mathbf{p}_{j} \mathrm{e}^{\mathrm{i}\mathbf{k}_{0}} \cdot \mathbf{p}_{j}$$

• The cumulants are given by $\ln G(ar{x}_1,\ldots,ar{x}_N)=N\mathcal{F}(\mathbf{k}_0)$

To lowest order*,
$$\mathbf{i}\mathbf{k}_{0} = -\frac{D}{\langle \mathbf{p}^{2} \rangle} \sum_{j=1}^{N} \frac{\bar{x}_{j}}{N} \mathbf{p}_{j}$$
, hence

$$\mathcal{F}(\mathbf{k}_{0}) = \sum_{j=1}^{N} \frac{\bar{x}_{j}}{N} - \frac{D}{2\langle \mathbf{p}^{2} \rangle} \left(\sum_{j=1}^{N} \frac{\bar{x}_{j}}{N} \mathbf{p}_{j} \right)^{2}$$
which gives $\bar{f}_{c}(\mathbf{p}_{1}, \mathbf{p}_{2}) = -\frac{D \mathbf{p}_{1} \cdot \mathbf{p}_{2}}{N\langle \mathbf{p}^{2} \rangle}$, of order $\mathcal{O}\left(\frac{1}{N}\right)$ as expected
* assuming $F(\mathbf{p})$ isotropic, so that $\langle \mathbf{p} \rangle = 0$ and $\langle (\mathbf{k}_{0} \cdot \mathbf{p})^{2} \rangle = \mathbf{k}_{0}^{2} \langle \mathbf{p}^{2} \rangle / D$
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Computing the first cumulants

Going to the next order in $\frac{\bar{x}}{N}$:

$$\mathbf{i}\mathbf{k}_{0} = -\left[\mathbf{1}_{D} - \left(X_{0}\mathbf{1}_{D} - \frac{D}{\langle \mathbf{p}^{2} \rangle}X_{2}\right)\right]^{-1} \frac{D}{\langle \mathbf{p}^{2} \rangle} \mathbf{X}_{1}$$

unit $D \times D$ matrix —

with
$$X_0 \equiv \sum_{j=1}^N \frac{\bar{x}_j}{N}$$
, $\mathbf{X_1} \equiv \sum_{j=1}^N \frac{\bar{x}_j}{N} \mathbf{p}_j$, $X_2 \equiv \sum_{j=1}^N \frac{\bar{x}_j}{N} \mathbf{p}_j \otimes \mathbf{p}_j$

$$\mathbb{F}(\mathbf{k}_0) = X_0 - \frac{D}{2\langle \mathbf{p}^2 \rangle} (\mathbf{X}_1)^2 - \frac{D}{2\langle \mathbf{p}^2 \rangle} \mathbf{X}_1 \cdot \left(X_0 \mathbf{1}_D - \frac{D}{\langle \mathbf{p}^2 \rangle} X_2 \right) \cdot \mathbf{X}_1$$

$$\ln G(\bar{x}_{1}, \dots, \bar{x}_{N}) = \sum_{j=1}^{N} \bar{x}_{j} \left[-\frac{D}{2N\langle \mathbf{p}^{2} \rangle} \sum_{j,k} \bar{x}_{j} \bar{x}_{k} (\mathbf{p}_{j} \cdot \mathbf{p}_{k}) \right]^{2-\text{particle cumulants}} \\ \left[-\frac{D}{2N^{2}\langle \mathbf{p}^{2} \rangle} \sum_{j,k,l} \bar{x}_{j} \bar{x}_{k} \bar{x}_{l} \left[\mathbf{p}_{j} \cdot \mathbf{p}_{l} - \frac{D}{\langle \mathbf{p}^{2} \rangle} (\mathbf{p}_{j} \cdot \mathbf{p}_{k}) (\mathbf{p}_{k} \cdot \mathbf{p}_{l}) \right] \right]$$

3-particle cumulants: $\mathcal{O}(1/N^2)$!

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Total momentum conservation and M-particle cumulants

Using a saddle-point method (which implies $N \gg 1$), I have computed in a model-independent way the multiparticle cumulants arising from the constraint $\mathbf{p}_1 + \mathbf{p}_2 + \cdots + \mathbf{p}_N = \mathbf{0}$ will be taken =2 in what follows $\bar{f}_c(\mathbf{p}_1, \mathbf{p}_2) = -\frac{(D)\mathbf{p}_1 \cdot \mathbf{p}_2}{N(\mathbf{p}^2)}$ (transverse momentum conservation) $\overline{f}_c(\mathbf{p}_1,\mathbf{p}_2,\mathbf{p}_3) = -\frac{D}{N^2 \langle \mathbf{p}^2 \rangle} (\mathbf{p}_1 \cdot \mathbf{p}_2 + \mathbf{p}_1 \cdot \mathbf{p}_3 + \mathbf{p}_2 \cdot \mathbf{p}_3)$ + $\frac{D^2}{N^2 \langle \mathbf{p}^2 \rangle^2} \left[(\mathbf{p}_1 \cdot \mathbf{p}_2) (\mathbf{p}_1 \cdot \mathbf{p}_3) + (\mathbf{p}_1 \cdot \mathbf{p}_2) (\mathbf{p}_2 \cdot \mathbf{p}_3) \right]$ $+(\mathbf{p}_{1} \cdot \mathbf{p}_{3})(\mathbf{p}_{2} \cdot \mathbf{p}_{3})]$

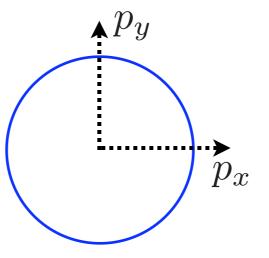
Moreover, the *M*-particle cumulant arising from the conservation of total momentum scales with multiplicity as $1/N^{M-1}$, as those from short-range correlations!

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We have seen that $\bar{f}_c(\mathbf{p}_1, \mathbf{p}_2) = -\frac{2 \mathbf{p}_1 \cdot \mathbf{p}_2}{N \langle \mathbf{p}^2 \rangle}$, which means that the two-particle probability distribution reads

$$f(\mathbf{p}_1, \mathbf{p}_2) = f(\mathbf{p}_1)f(\mathbf{p}_2) \left(1 - \frac{2 p_1 p_2 \cos(\varphi_2 - \varphi_1)}{N \langle \mathbf{p}^2 \rangle}\right)$$

Thus, if there is a first particle with transverse momentum p_1 , then the probability to find a second particle with transverse momentum p_2 is NOT isotropic, but larger "away" (in azimuth) from p_1 .

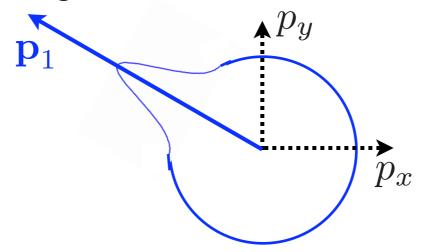


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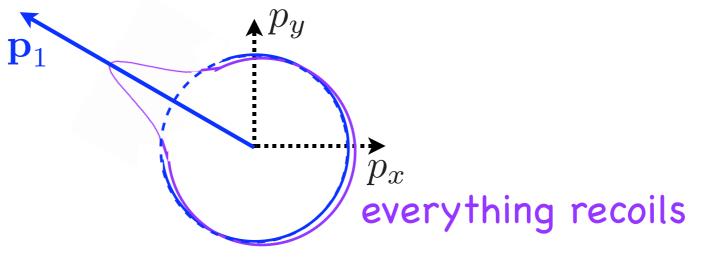


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Thus, if there is a first particle with transverse momentum p_1 , then the probability to find a second particle with transverse momentum p_2 is NOT isotropic, but larger "away" (in azimuth) from p_1 .



One cannot speak of "a jet + an (uncorrelated) background event"!

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The conservation of total transverse momentum does correlate all particles in the event together!

 $\bar{f}_c(\mathbf{p}_1, \mathbf{p}_2) = -\frac{2 \, \mathbf{p}_1 \cdot \mathbf{p}_2}{N \langle \mathbf{p}^2 \rangle}$ The correlation is back-to-back, & larger between particles with larger momenta

🞼 should not be forgotten in jet studies...

Its meaning?

That the conditional probability for an "associated" particle to have a momentum p_2 when there is a "trigger" particle with momentum p_1 is not the same as the probability to have a particle with momentum p_2 irrespective of the momenta of the other particles. The "background" to the jet is modulated by its presence (need to balance the momentum).

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Total momentum conservation and statistical studies of jets

The "background" to the jet is modulated by its presence (need to balance the momentum).

This is a model-independent statement! I do not assume any specific micro-/macroscopic picture of the correlation between the jet and the other particles.

issue for methods that decompose an event into jet+background, as they might not be easy to disentangle from each other.

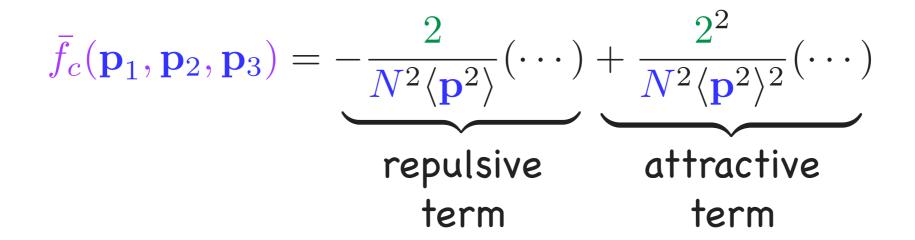
Safer approach (cf. Claude Pruneau!):

measure the cumulants on the one hand;

 \blacklozenge compute their values due to various sources of correlation on the other hand.

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The attractive term dominates over the repulsive one (not intuitive!) when all three particles have transverse momenta larger than the rms transverse momentum: relevant case for high- p_T studies!

Let us investigate the behavior of this cumulant! (for simplicity, in the case $p_{\rm trigger}\equiv p_1>p_2=p_3\equiv p_{\rm assoc.}$)

I shall use the relative angles $\Delta \varphi_{12} \equiv \varphi_1 - \varphi_2$ and $\Delta \varphi_{13} \equiv \varphi_1 - \varphi_3$

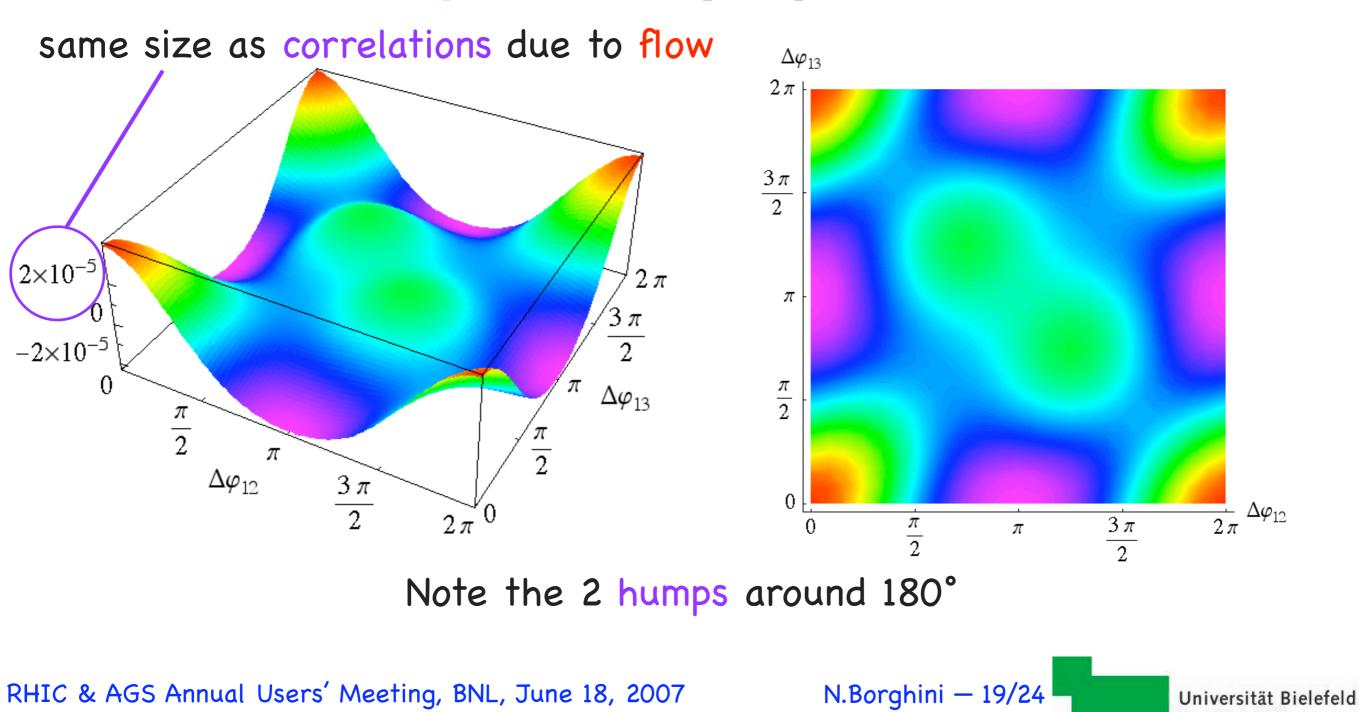
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Three-particle correlation due to total transverse momentum conservation RHIC-inspired values: N = 8000 particles $\langle \mathbf{p}^2 \rangle^{1/2} = 0.45$ GeV $p_1 = 3.2 \text{ GeV}, \quad p_2 = p_3 = 1.2 \text{ GeV}$ PHENIX, PRL 97 (2006) 052301: 2.5 GeV < p_1 < 4 GeV & 1 GeV < p_2 , p_3 < 2.5 GeV; Cl. Pruneau, nucl-ex/0703010: 3 GeV < p_1 < 4 GeV & 1 GeV < p_2 , p_3 < 2 GeV; all particles in the event: conservative estimate (if transverse momentum was actually balanced between a smaller number of particles, the correlation would be larger)

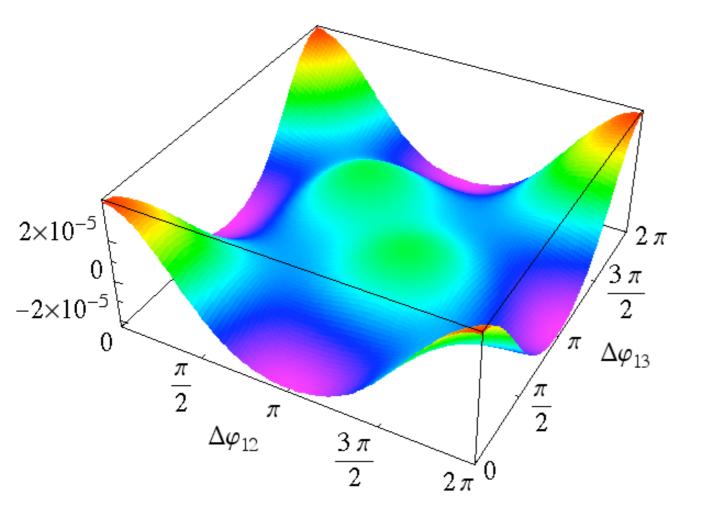
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RHIC-inspired values: N = 8000 particles, $\langle \mathbf{p}^2 \rangle^{1/2} = 0.45$ GeV $p_1 = 3.2$ GeV, $p_2 = p_3 = 1.2$ GeV



Three-particle correlation due to total transverse momentum conservation RHIC-inspired values: N = 8000 particles, $\langle \mathbf{p}^2 \rangle^{1/2} = 0.45$ GeV

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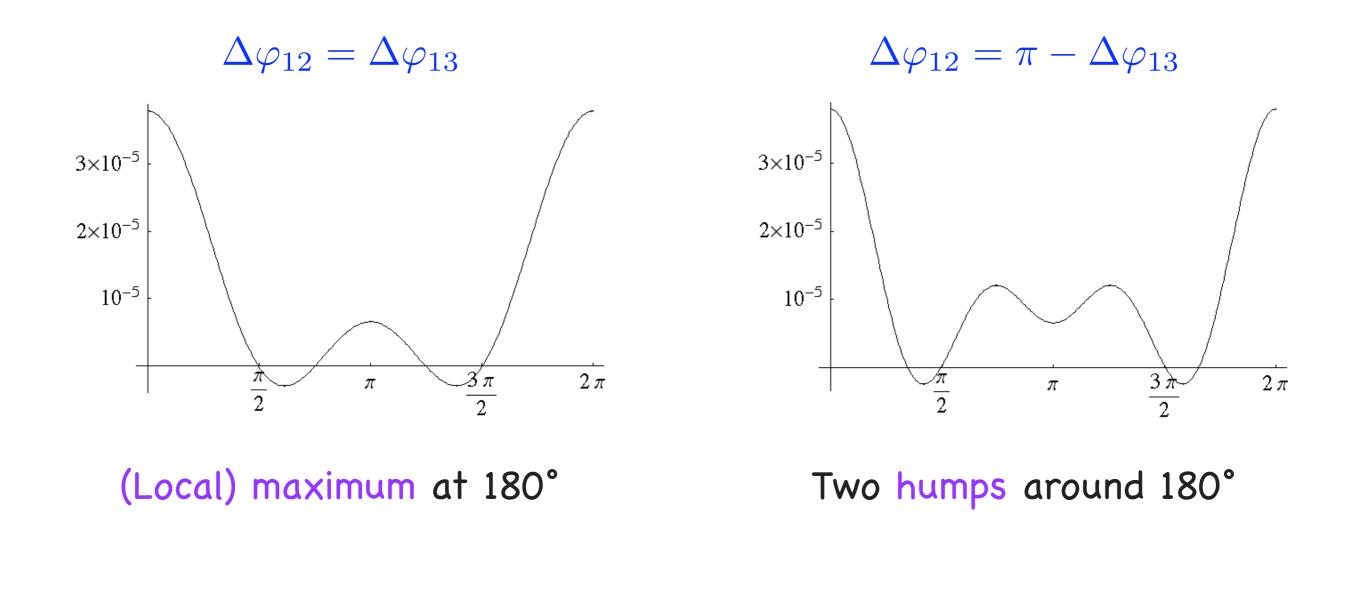
The structure is non-trivial!

A process involving three bodies only cannot accommodate such values of the momenta!

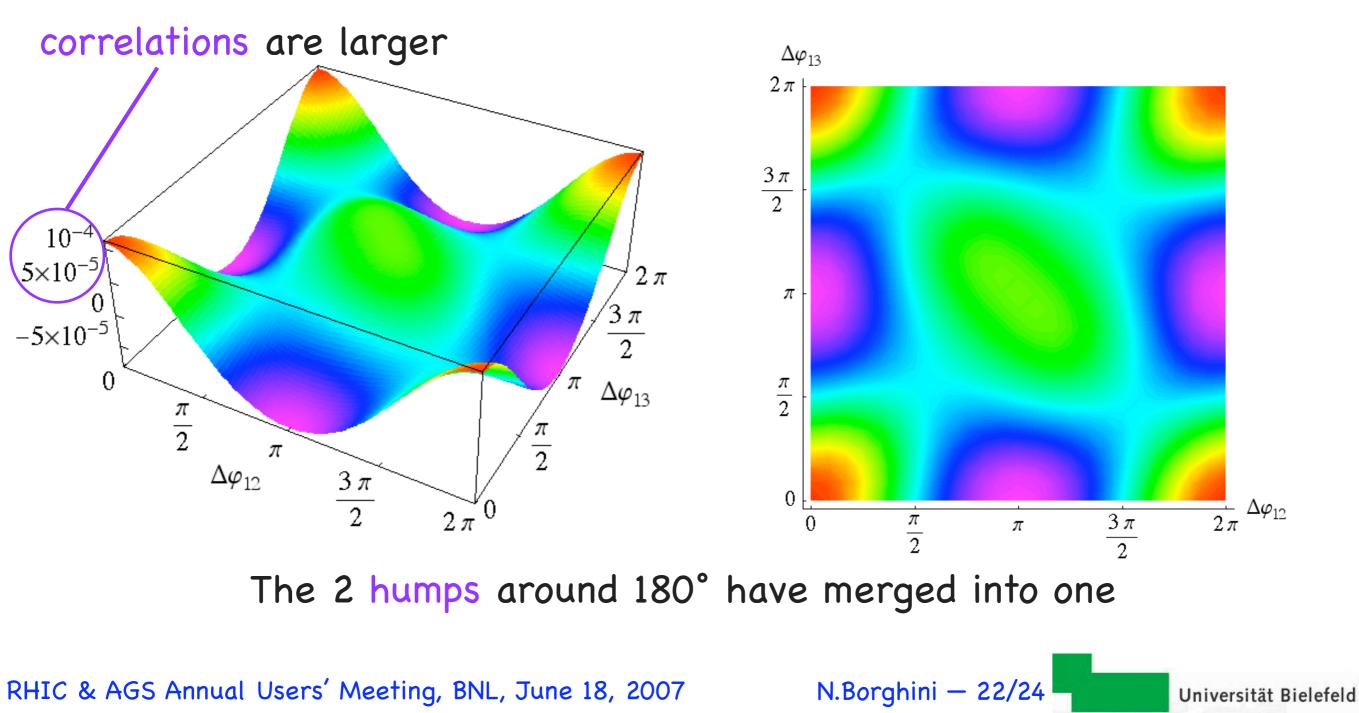
$$\mathbf{p}_1 + \mathbf{p}_2 + \mathbf{p}_3 \neq \mathbf{0}$$

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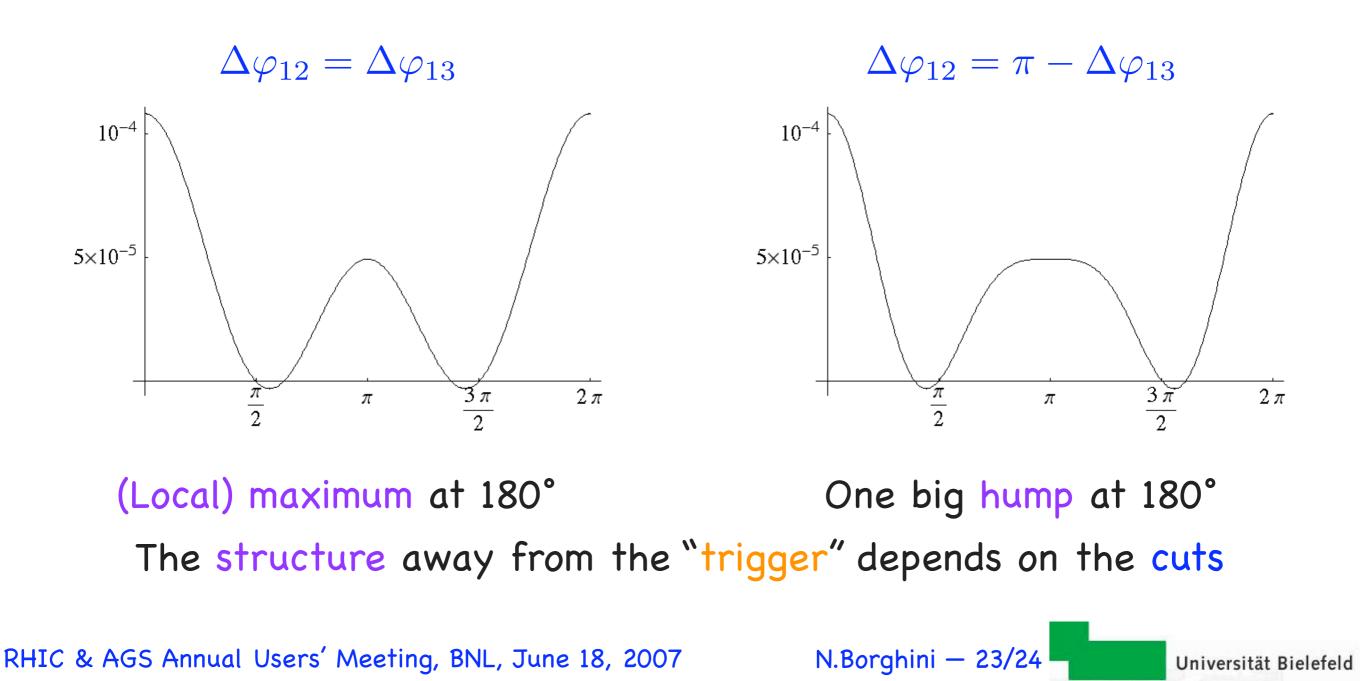
RHIC-inspired values: N = 8000 particles, $\langle \mathbf{p}^2 \rangle^{1/2} = 0.45$ GeV $p_1 = 3.2$ GeV, $p_2 = p_3 = 1.2$ GeV



RHIC-inspired values: N = 8000 particles, $\langle \mathbf{p}^2 \rangle^{1/2} = 0.45$ GeV $p_1 = 6$ GeV $\geq 5(p_2 = p_3) = 1.2$ GeV



RHIC-inspired values: N = 8000 particles, $\langle \mathbf{p}^2 \rangle^{1/2} = 0.45$ GeV $p_1 = 6$ GeV $\geq 5(p_2 = p_3) = 1.2$ GeV



Total momentum conservation and statistical studies of jets

Total momentum conservation induces correlations between the particles emitted in a collision.

These correlations can be computed... and their value can be estimated if one "knows" the total emitted multiplicity N and the mean square momentum $\langle \mathbf{p}^2 \rangle$.

Image: can be treated as parameters

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N.Borghini - 24/24

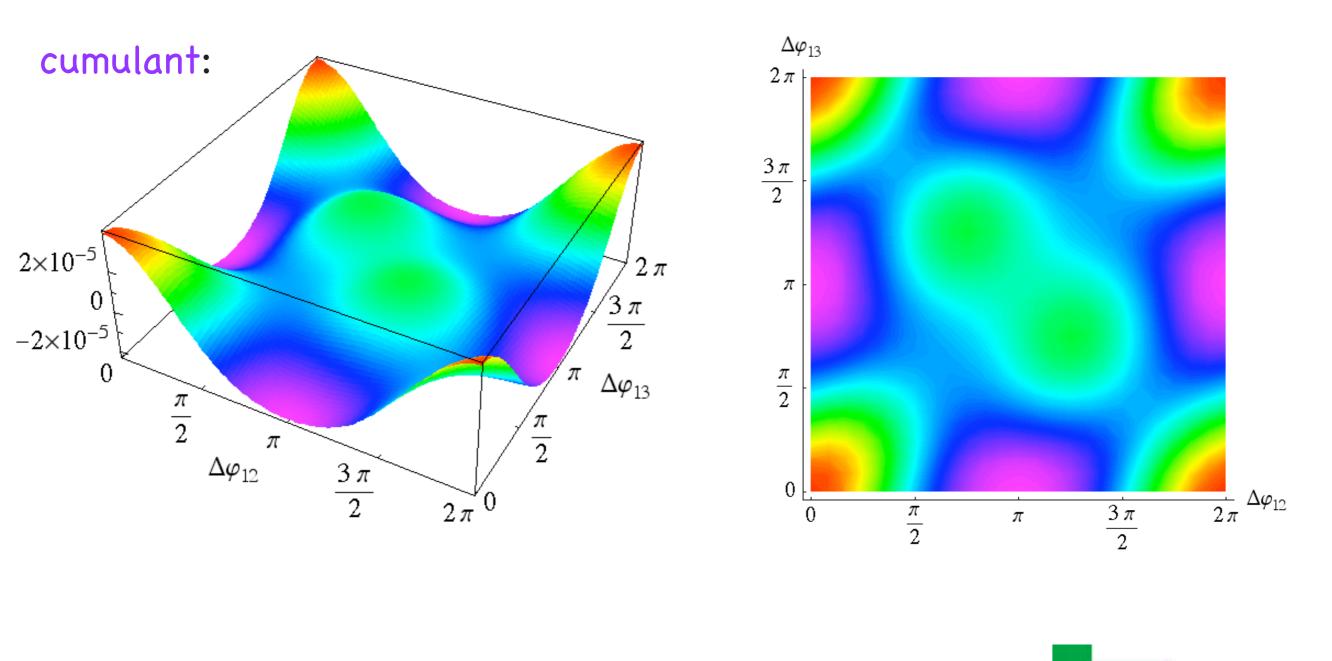
Do not underestimate its possible role!

RHIC & AGS Annual Users' Meeting, BNL, June 18, 2007

Extra slide

Three-particle distribution vs. three-particle cumulant

RHIC-inspired values: N = 8000 particles, $\langle \mathbf{p}^2 \rangle^{1/2} = 0.45$ GeV $p_1 = 3.2$ GeV, $p_2 = p_3 = 1.2$ GeV

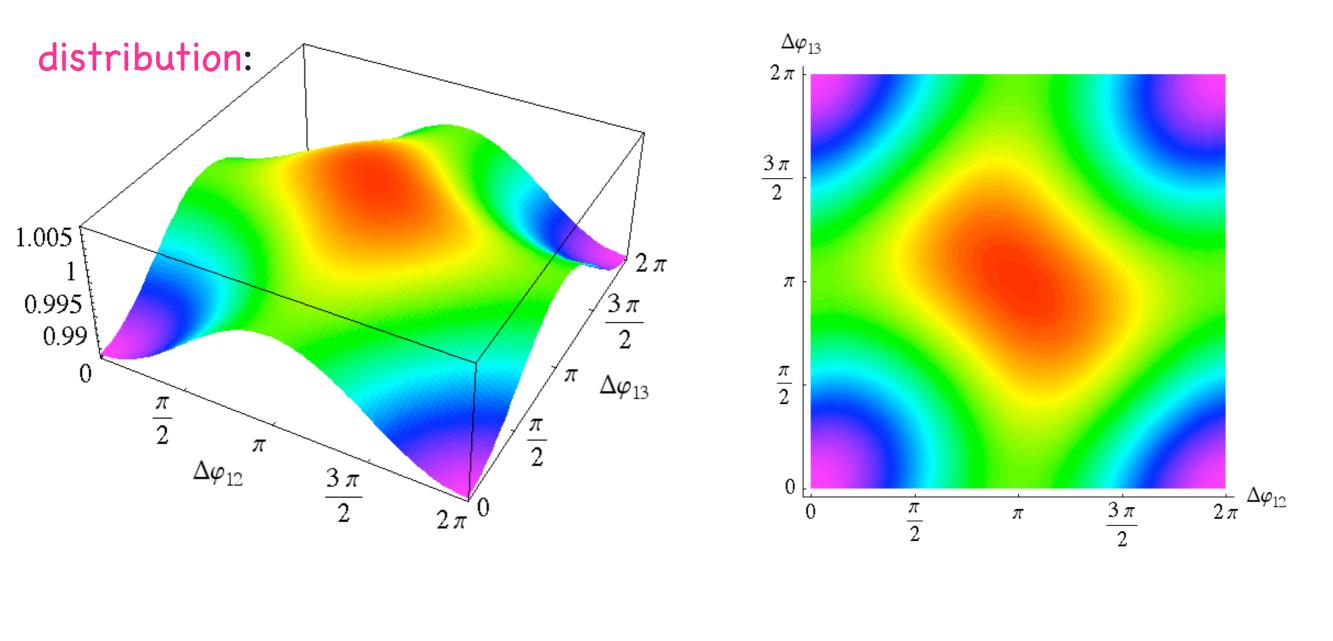


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